

# Speed-Boosted Adaptation and Applications to Swarms and Clusters of High-Performance Aerospace Systems

Maruthi R. Akella

Controls Lab for Distributed and Uncertain Systems  
Aerospace Engineering and Engineering Mechanics  
The University of Texas at Austin

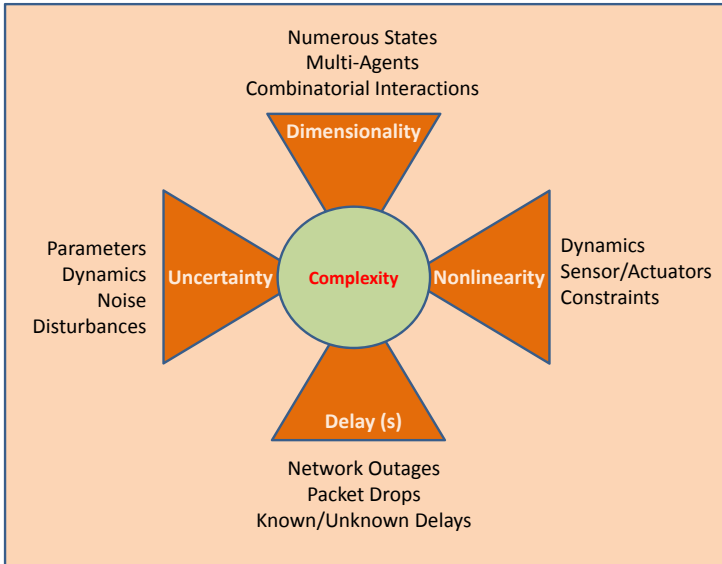
[makella@mail.utexas.edu](mailto:makella@mail.utexas.edu)

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Indian Institute of Technology, Bombay



# Control of Agile Responsive Aerospace Systems





Aleksandyr  
Mikhailovich  
Lyapunov

- ▶ Lyapunov-like techniques are usually the basis of most nonlinear stability analysis
- ▶ Controllers are synthesized to suit “chosen” Lyapunov functions
- ▶ Lyapunov’s Direct Method paired with LaSalle invariance, Barbalat’s lemma establish foundations for optimal, robust, and adaptive control
- ▶ Finding the right Lyapunov function is more art than science





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# Lyapunov's Direct Method & Beyond..

Consider a really simple stabilization problem

$$\begin{cases} \dot{x}_1 = x_2 \\ \dot{x}_2 = W(x)\theta^* + u \end{cases} \quad \left\{ \begin{array}{l} u = -k_p x_1 - k_v x_2 - W(x)\theta^* \\ k_p > 0, k_v > 0 \end{array} \right.$$

The closed-loop system is UES. This can be established via

$$A_m \doteq \begin{bmatrix} 0 & 1 \\ -k_p & -k_v \end{bmatrix}, \quad A_m^T P + P A_m = -Q, \quad V_1 = x^T P x \rightarrow \dot{V}_1 = -x^T Q x < 0$$

Alternately, we could consider “energy-like” function

$$V_2 = (k_p x_1^2 + x_2^2)/2 \rightarrow \dot{V}_2 = -k_v x_2^2 \leq 0$$

Thus,  $V_2(x)$  is “non-strict” (aka *defective*) but the story still has a happy ending, thanks to LaSalle Invariance, Barbalat's Lemma...

Questions: How do we construct strict Lyapunov functions? Why bother about them?



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# Constructing Strict Lyapunov Functions

- ▶ If UGAS is already known, converse theory guarantees existence
- ▶ Explicit availability of a strict Lyapunov function aids robustness analysis (external disturbances, adaptive control, time-delays, ...)
- ▶ Construction is a challenging problem, significant ongoing research (Mazenc, Malisoff, Teel, Nesic, etc.)



*Antipod*  
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- ▶ Higher-order Lie derivatives of non-strict Lyapunov functions
- ▶ Use of continuous-time Matrosov theorem
- ▶ Feedback with small gains
- ▶ Sufficient conditions, usually non-quadratic functions



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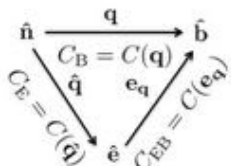
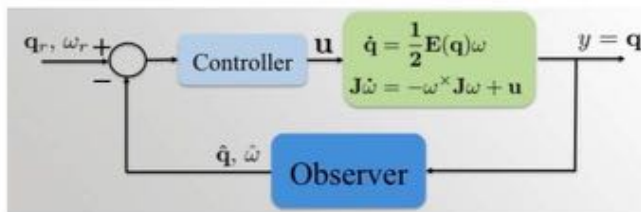


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# Strictification via State-Dependent Switching



Relative Orientation

$$e_q = \begin{bmatrix} \hat{q}_0 q_v + q_0 \hat{q}_v + q_v^x \hat{q}_v \\ q_0 \hat{q}_0 - q_v^T \hat{q}_v \end{bmatrix}$$

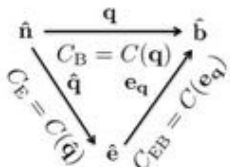
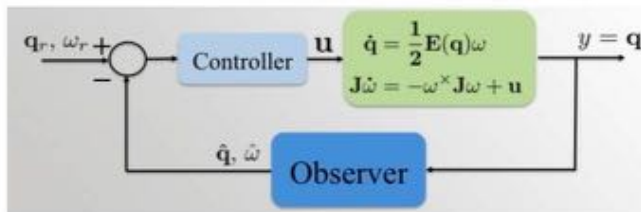
$$e_\omega = \omega - C(e_q) \hat{\omega} - e_{q_v}$$

## Angular Velocity Observer Application:

- ▶ Salcudian 1991, Open Problem (till Chunodkar, Akella, JGCD 2014)
- ▶ Switching provides *strictification* while ensuring  $C^0$  continuity of states
- ▶ Finite number of switches - no zero-type behavior
- ▶ Smooth analog for this result available through a spiral design approach (Thakur, Mazenc, Akella, JGCD 2015)



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Introduce stable linear low-pass filters (i.e.,  $\alpha > 0$ )

$$\begin{aligned}\dot{x}_{1f} &= -\alpha x_{1f} + x_1, & \dot{x}_{2f} &= -\alpha x_{2f} + x_2 \\ \dot{u}_f &= -\alpha u_f + u, & \dot{W}_f &= -\alpha W_f + W(\mathbf{x})\end{aligned}$$

Simple algebra results in the following, modulo exponentially decaying terms,

$$\begin{aligned}\dot{x}_{1f} &= x_{2f} \\ \dot{x}_{2f} &= W_f \theta^* + u_f\end{aligned} \quad \left\{ \begin{array}{l} u_f = -k_p x_1 - k_v x_{2f} - W_f \theta^* \\ k_p > 0, k_v > 0 \end{array} \right.$$

Now, consider the Lyapunov function candidate  $V_3(\mathbf{x}) = (x_1^2 + x_{2f}^2)/2$ ,

$$\dot{V}_3 = -k_p x_1^2 - k_v x_{2f}^2 + (\alpha - k_p - k_v) x_1 x_{2f}$$

Selecting the filter gain  $\alpha = (k_p + k_v)$  results in  $\dot{V}_3 = -k_p x_1^2 - k_v x_{2f}^2$

Mr. Lyapunov is both QUADRATIC and STRICT again!

The control signal can be recovered by

$$u = \dot{u}_f + \alpha u_f \implies u = -\alpha k_p x_1 - (k_p + k_v) x_2 - W(\mathbf{x}) \theta^*$$

Thus, for this academic example, filters are for analysis ONLY and they aren't needed for implementation!



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# Strictification - Why Bother?

Suppose  $\theta^*$  is unknown, constant and we consider adaptation

$$u = -k_p x_1 - k_v x_2 - W(\mathbf{x})\hat{\theta}(t)$$

The update law for the parameter estimate  $\hat{\theta}(t)$  can be established through

$$\dot{V} = \frac{k_p}{2} x_1^2 + \frac{1}{2} x_2^2 + \frac{1}{2\gamma} \tilde{\theta}^T \dot{\tilde{\theta}}, \quad \tilde{\theta} \doteq \hat{\theta} - \theta^*, \quad \gamma > 0$$

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**Big Trouble!** We are staring at the **Uniform Detectability Obstacle**

**Fix:** Either introduce non-intuitive cross-terms to “strictify” the Lyapunov function or, possibly adopt the **filter embedment** approach

And.. this is only the **tip of the iceberg..**



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- ▶ Several variants exist
  - ▶ Direct/Indirect
  - ▶ Backstepping
  - ▶ Immersion & Invariance
  - ▶  $\mathcal{L}_1$  Adaptive Control



Procustes' *Mythical* Bed

- ▶ **Fact 1:** Even a **linear plant** under the action of an adaptive controller **becomes nonlinear** in the closed-loop **due to the adaptation** mechanism
- ▶ **Fact 2:** Plant parameters **affine** in the governing dynamic model
- ▶ **Fact 3:** Parameter estimates converge to their true values only under suitable **persistence excitation (PE) conditions**
- ▶ **Fact 4:** Most existing designs based on the **Certainty Equivalence (CE) Principle**



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- ▶ **Fact 1:** Even a **linear plant** under the action of an adaptive controller **becomes nonlinear** in the closed-loop **due to the adaptation** mechanism
- ▶ **Fact 2:** Plant parameters **affine** in the governing dynamic model
- ▶ **Fact 3:** Parameter estimates converge to their true values only under suitable **persistence excitation (PE) conditions**
- ▶ **Fact 4:** Most existing designs based on the **Certainty Equivalence (CE) Principle**



# Certainty Equivalence Principle

- ▶ Consider a prototypical adaptive stabilization problem

- ▶ Suppose all plant parameters  $\theta^*$  are **known** and

$$u = k(t)h(x) + W(x)\theta^*$$

is the controller that achieves the desired control objective

- ▶ Then, in the case  $\theta^*$  is **unknown**, design controller

$$u = k(t)h(x) + W(x)\hat{\theta}(t)$$

together with a suitable update law of  $\hat{\theta}(t)$  (**parameter estimator**) so that the closed-loop is stable and the control objective is again achieved.



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# Deviating from the CE Formalism

- ▶ Add tuning function  $\beta(x)$  to the adaptation (Astolfi & Ortega, IEEE TAC, 2003) :

$$u = k(t)h(x) + W(x) [\hat{\theta}(t) + \beta(x)]$$

- ▶ The state-dependent tuning function  $\beta(x)$  should satisfy an **integrability condition**:

$$\left[ \frac{\partial \beta(x)}{\partial x} \right]^T W(x) + W^T(x) \frac{\partial \beta(x)}{\partial x} = Q(x) \geq 0 \quad \text{uniformly in } x$$

- ▶ Sufficient condition ONLY (... think  $A^T P + PA = -Q$ )
- ▶  $Q(x)$  is a design function
- ▶  $\beta$  is not uniquely defined
- ▶ **Affine uncertainty representation not necessary**
- ▶ Nonlinear single-input systems in cascade form and linear multi-input systems **always satisfy** the manifold attractivity condition (Akella, Subbarao, SCL 2005)
- ▶ Stability analysis:

$$V = \frac{1}{2} x^T x + \frac{\sigma}{2} z^T z, \quad z \doteq \hat{\theta} - \theta^* + \beta, \quad \sigma > 0,$$

$$\dot{V} = -x^T x - x^T W(x) z - \sigma \|W(x) z\|^2 \leq 0$$

- ▶ Generalizations to multi-input case typically **through filter embedment** (Seo & Akella, JGCD 2008; Karagiannis, AUTOMATICA 2009)





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# Comparing the Two Designs

- ▶ CE based designs typically result in

$$\begin{aligned}\dot{\mathbf{x}} &= -\mathbf{x} - W(\mathbf{x})\tilde{\boldsymbol{\theta}}; & \{\tilde{\boldsymbol{\theta}} = \hat{\boldsymbol{\theta}} - \boldsymbol{\theta}^*\} \\ \dot{\hat{\boldsymbol{\theta}}} &= \gamma_{ce} W^T(\mathbf{x})\mathbf{x}\end{aligned}$$

- ▶ Performance ultimately dictated by parameter estimator  
⇒  $W(\mathbf{x})\tilde{\boldsymbol{\theta}}$  like disturbance
  - ▶ Parameter estimates driven by the regulating/tracking error
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# Speed-Boosted Adaptation

- ▶ Wash all states and the regressor through stable linear low-pass filters
- ▶ The regressor filter assures circumvention of the integrability obstacle. Specifically,  $\beta = W_f^T x_f$  satisfies the integrability condition
- ▶ The closed-loop system becomes

$$\begin{aligned}\dot{x}_f &= -x_f - W_f(t)z \\ \dot{z} &= -\gamma W_f^T W_f z\end{aligned}$$

- ▶ Very high-dimensional closed-loop system ( $x_f \in \mathcal{R}^n$ ;  $W_f \in \mathcal{R}^{n \times p}$ )
- ▶ Speed boosting:  $k(t) = k\rho(t)r^2(t)$   $k > 0, \inf_{t \geq 0} \rho(t) = \rho^* > 0$ 
  - ▶ Scalar extension: (non-filter,  $\rho(t) = 1$ );  $k \in \mathcal{L}_2 \cap \mathcal{L}_\infty$  (Yang, Akella, SES 2015)
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 $\dot{\rho} = -(\rho - 1/r^2 - \varepsilon), \quad \rho(0) = 1/r^2(0) + \varepsilon, \quad 0 < \varepsilon \ll 1$
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# Adaptive Attitude Tracking Application

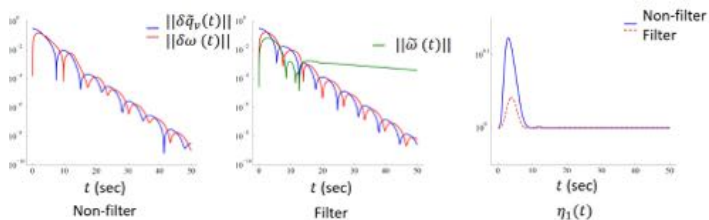
## ► System parameters and reference rate profile

(Seo, Akella, JGCD 2008)

$$J = \begin{bmatrix} 20 & 1.2 & 0.9 \\ 1.2 & 17 & 1.4 \\ 0.9 & 1.4 & 15 \end{bmatrix}$$

$$\omega_r(t) = 0.3(1 - e^{-0.01t^2}) \cos t + te^{-0.01t^2}(0.08\pi + 0.006 \sin t)$$

## ► Tracking errors and dynamic gain $k(t) = \eta_1(t)$

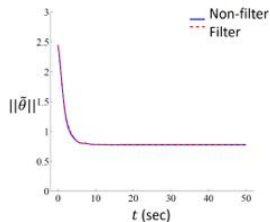
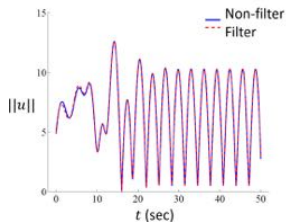


(Yang, Akella, AIAA/AAS SFM, 2016)

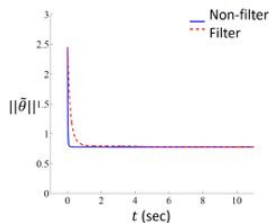
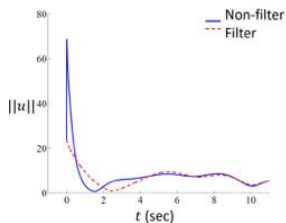


# Simulation Results

## ► Control and estimation error norms



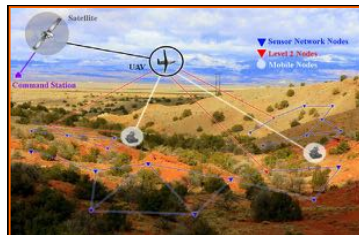
## ► Large initial rate error: $\|\delta\omega(0)\| = \sqrt{3}$



# Coordinated Sensing and Decentralized Control

## Distributed Heterogeneous Networks:

- ▶ Mission and task decomposition
- ▶ Minimal communication, persistence
- ▶ Coordination, optimality and constraint satisfaction



## Research Focus:

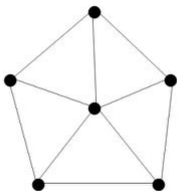
- ▶ Self organization – clustering
- ▶ GPS-denied navigation, path-planning
- ▶ Consensus establishment
- ▶ Time-delay in communication



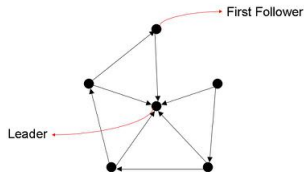


# Swarms and Information Architectures

- ▶ Undirected/Symmetric
- ▶ Rigid, but not minimally so



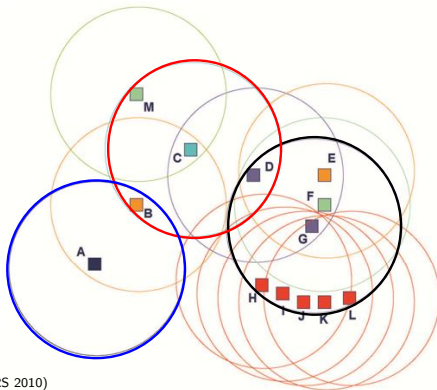
- ▶ Directed/Asymmetric
- ▶ Minimally persistent
- ▶ LFF, LRF, Co-Leader



# Clustering for Self Organization

## Hierarchical Self-Organization of the Network

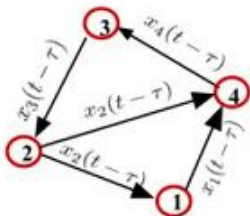
- ▶ Determination of clusterheads and clients
- ▶ Optimization a very difficult problem (NP hard)
- ▶ Best approximations  $\sim O(\log n)$  for 1-D;  $O(\sqrt{n})$  for 2-D
- ▶ Mobile nodes not involved



(Mercker, Akella, Alvarez, JIRS 2010)



# Time-Delays & Imperfect Communication



$$\ddot{x}_i(t) = \alpha \sum_{j \in N_i} \bar{a}_{ij} (x_j(t - \tau) - x_i(t)) - \beta \dot{x}_i(t)$$

No self-delay protocol

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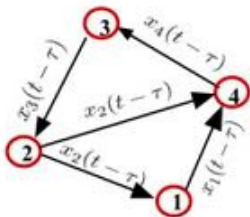
Same self-delay protocol

## Dynamics with Unstable Drift:

- ▶ Graph containing *spanning tree* necessary for consensus
- ▶ Necessary and sufficient stability conditions for *cyclic graphs* in terms of control gains  $\alpha$  (position-feedback) and  $\beta$  (rate-feedback)
- ▶ Directed graphs are *less robust* w.r.t. time-delay uncertainty when compared to corresponding underlying undirected graphs



# Time-Delays & Imperfect Communication



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No self-delay protocol

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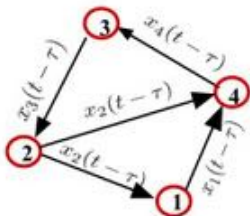
Same self-delay protocol

## Dynamics with Unstable Drift:

- ▶ Graph containing *spanning tree* necessary for consensus
- ▶ Necessary and sufficient stability conditions for *cyclic graphs* in terms of control gains  $\alpha$  (position-feedback) and  $\beta$  (rate-feedback)
- ▶ Directed graphs are *less robust* w.r.t. time-delay uncertainty when compared to corresponding underlying undirected graphs



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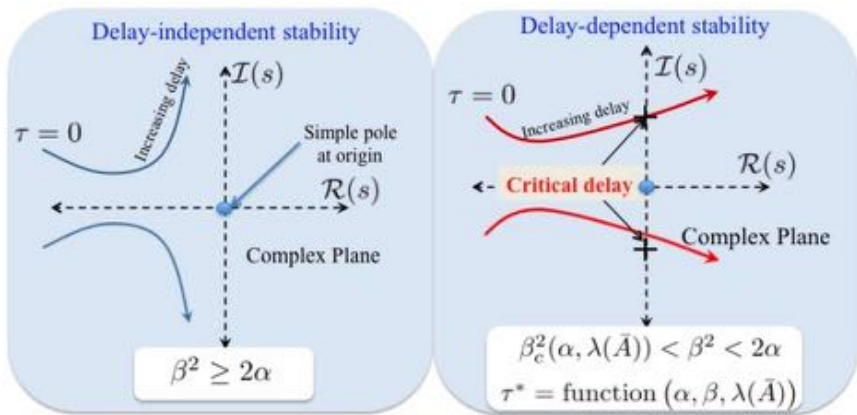
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# Stability Conditions - No Self-Delay Protocol

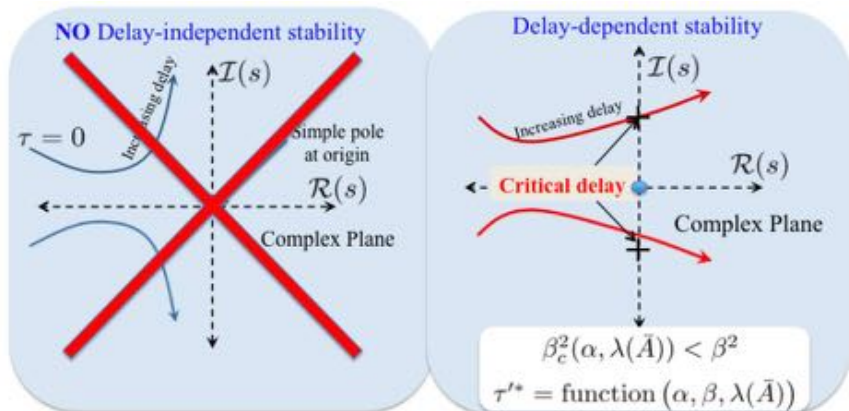
- ▶ No self-delay, weighted adjacency matrix  $\bar{A}$
- ▶ Critical delay  $\tau^* \leq \tau_{\max}$

(Yang, Mazenc, Akella, JGCD 2015)



# Stability Conditions - with Same Self-Delay

- ▶ Same self-delay, weighted adjacency matrix  $\bar{A}$
- ▶ Critical delay  $\tau^* \leq \tau_{\max}$

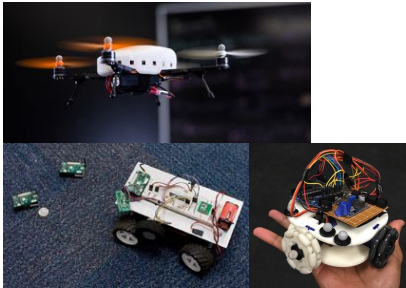


## Swarm about Dwarf Planet Ceres (Hernandez, Thakur, Akella, JGCD 2015)



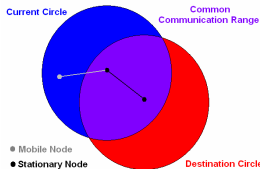


# Navigation without Localization/GPS Infrastructure



## GPS Denied Robot Navigation:

- ▶ Reach “purple” from “blue”
- ▶ Arbitrary heading
- ▶ Imperfect communication boundaries



## Vision-Based Discrete Adaptive Rate Estimation (Almeida, Akella, Mortari, AIAA/AAS SFM 2016)



# What if Landmarks aren't Mapped?

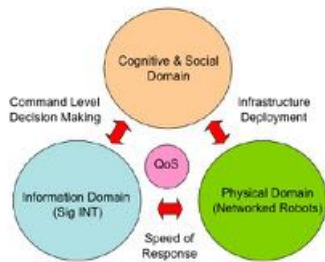
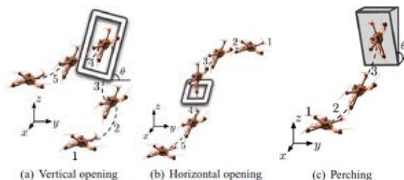
## Simultaneous Localization and Mapping (15 fps)

(OrbSLAM; Mur-Artal et al. Universidad Zaragoza 2016)



# Challenges, Opportunities, Future Work..

- ▶ Distributed, ubiquitous
- ▶ “Internet of Things” – at massive scales
- ▶ Human-robot interface, perception, cognition

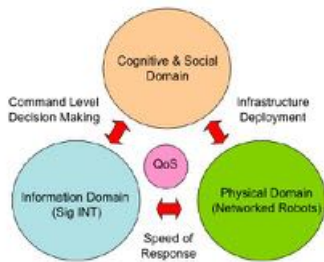
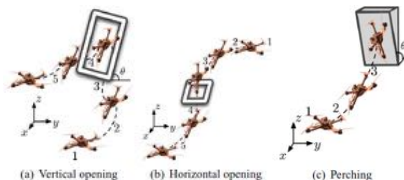


- ▶ Layered-autonomy, dependability
- ▶ Uncertainty, quantification and its impact on sensor/resource allocation



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The ASTRIA Consortium of Universities performing fundamental research in Astrodynamics Sciences and Technologies of interest to the AFRL and the U.S. Department of Defense.

Thank you.

Questions?