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Analysis of orbits arising in piecewise-smooth discontinuous maps

Harish K Pillai work done with Bhooshan Rajpathak & Santanu Bandyopadhyay

Department of Electrical Engineering, Indian Institute of Technology Bombay, Mumbai, India-400076

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Why piecewise-smooth discontinuous maps?

• Makes an appearance in various applications in electrical engineering and physics

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Why piecewise-smooth discontinuous maps?

• Makes an appearance in various applications in electrical engineering and physics

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Examples include

- Controlled buck converter
- Boost converter in discontinuous mode
- Impact oscillators

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1D linear piecewise smooth map

Linear piecewise smooth map

$$x_{n+1} = f(x_n, a, b, \mu, l) = \begin{cases} ax_n + \mu & \text{for } x_n \le 0\\ bx_n + \mu + \ell & \text{for } x_n > 0 \end{cases}$$

- The jump discontinuity is at x = 0
- *a* and *b* are the slopes of the affine maps on either side of discontinuity
- ℓ is the height of the "jump"
- μ is the parameter to be varied

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Our interest					

• Do (periodic) orbits exist for such systems?

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Our interest					

- Do (periodic) orbits exist for such systems?
- If yes, then can these orbits be characterized, classified \cdots

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The settings

Assumption : Let 0 < a < 1 and 0 < b < 1

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The settings

Assumption : Let 0 < a < 1 and 0 < b < 1If the "jump" $\ell > 0$, then



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The settings

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Equilibrium point in the left half $x_L = \frac{\mu}{1-a}$ Equilibrium point in the right half $x_R = \frac{\mu+\ell}{1-b}$ Preliminarie

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The settings

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No chance of a periodic orbit !!

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The settings

Assumption : Let 0 < a < 1 and 0 < b < 1If the "jump" $\ell < 0$, then



Orbits can exist if $0 < \mu < -\ell$ Set $\ell = -1$ and therefore $0 < \mu < 1$

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• Let *f* be a map from \mathbb{R} to \mathbb{R} . *p* is a periodic point of order *k* if $f^k(p) = p$, where *k* is the smallest such positive integer.



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- A sequence of k distinct periodic points of order k, say p_1, \ldots, p_k , where $p_{i+1} = f^i(p_1)$, is called a periodic orbit of period k.

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- Let L := (-∞, 0] (the closed left half plane) and R := (0,∞) (the open right half plane)

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- Let L := (-∞, 0] (the closed left half plane) and R := (0,∞) (the open right half plane)
- Given a particular sequence of points {x_n}_{n≥0} through which the system evolves, one can code this sequence into a sequence of ℒs and ℛs
- A periodic orbit has a string of *L*'s and *R*'s that keeps repeating. This repeating string is a pattern and denoted by σ

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Some more definitions

• Length of the string σ is denoted by $|\sigma|$ and gives the period of the orbit

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- P_{σ} denotes the interval of parameter μ for which an orbit with pattern σ exists

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- $\mathcal{LLLRR}, \mathcal{L}^{n}\mathcal{R}, \mathcal{LR}^{n}$ are prime patterns

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- A pattern consisting of a string of \mathscr{L} s followed by a string of \mathscr{R} s is called a prime pattern
- $\mathscr{L}^n \mathscr{R}$ is a \mathscr{L} -prime pattern
- \mathcal{LR}^n is a \mathcal{R} -prime pattern
- A pattern made up of two or more prime patterns is a composite pattern

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Prime patterns

Theorem

For $a, b \in (0, 1)$, \mathscr{L} -prime and \mathscr{R} -prime patterns of any length are admissible

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Prime patterns

Theorem

For $a, b \in (0, 1)$, \mathscr{L} -prime and \mathscr{R} -prime patterns of any length are admissible

Consider the pattern $\mathscr{L}^n \mathscr{R}$. The length of this pattern is n + 1. From the map, one gets the following inequalities:

$$\begin{aligned} x_0 &\leq 0, \\ x_1 &= ax_0 + \mu \leq 0, \\ x_2 &= ax_1 + \mu \leq 0, \\ &= a^2 x_0 + (a+1)\mu \leq 0, \\ \vdots \\ x_{n-1} &= a^{n-1} x_0 + \mu S_{n-2}^a \leq 0, \\ x_n &= a^n x_0 + \mu S_{n-1}^a > 0, \\ x_{n+1} &= x_0 = a^n b x_0 + (bS_{n-1}^a + 1)\mu = 1 \leq 0. \end{aligned}$$

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Prime patterns

Theorem

For $a, b \in (0, 1)$, \mathscr{L} -prime and \mathscr{R} -prime patterns of any length are admissible

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Therefore, $x_0 = \frac{(bS_{n-1}^a + 1)\mu - 1}{1 - a^n b}$

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Therefore, $x_0 = \frac{(bS_{n-1}^a+1)\mu-1}{1-a^nb}$ Substituting this x_0 into the inequalities give us inequalities that μ should satisfy

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$$P_{\mathscr{L}^{\mathbf{n}}\mathscr{R}} = \begin{pmatrix} a^n & a^{n-1} \\ \overline{S_n^a}, & \overline{a^{n-1}b + S_{n-1}^a} \end{pmatrix}$$

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Prime patterns

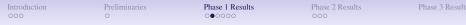
Theorem

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Therefore, $x_0 = \frac{(bS_{n-1}^a+1)\mu-1}{1-a^nb}$ Substituting this x_0 into the inequalities give us inequalities that μ should satisfy Every \mathscr{L} in the pattern gives an upper bound for μ Every \mathscr{R} in the pattern gives a lower bound for μ

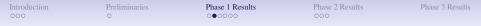
$$P_{\mathscr{L}^{\mathbf{n}}\mathscr{R}} = \begin{pmatrix} \frac{a^n}{S_n^a}, & \frac{a^{n-1}}{a^{n-1}b + S_{n-1}^a} \end{bmatrix}$$

Showing that $P_{\mathcal{L}^n\mathcal{R}} \neq \emptyset$ does the job



• Are \mathscr{L} -prime patterns and \mathscr{R} -prime patterns the only prime patterns that are admissible?

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- Are \mathscr{L} -prime patterns and \mathscr{R} -prime patterns the only prime patterns that are admissible?
- Are prime patterns the only kind of patterns? For example, can there be a pattern like $\mathcal{LLRRLLRR}$?

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- Can we characterize all the possible types of admissible patterns?

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• For a given *n*, how many distinct patterns exist with period *n*?



- Are \mathscr{L} -prime patterns and \mathscr{R} -prime patterns the only prime patterns that are admissible?
- Are prime patterns the only kind of patterns? For example, can there be a pattern like $\mathcal{LLRRLLRR}$?
- Can we characterize all the possible types of admissible patterns?
- For a given *n*, how many distinct patterns exist with period *n*?
- Is there an algorithm that generates only the possible admissible patterns of period *n*?

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Composite patterns

Theorem

For $a, b \in (0, 1)$, no admissible pattern can contain consecutive \mathscr{L} s and consecutive \mathscr{R} s simultaneously.

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Composite patterns

Theorem

For $a, b \in (0, 1)$, no admissible pattern can contain consecutive \mathcal{L} s and consecutive \mathcal{R} s simultaneously.

• For $\mu < \frac{1}{b+1}$, every \mathscr{R} is immediately followed by \mathscr{L}

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- For $\mu > \frac{a}{a+1}$, every \mathscr{L} is immediately followed by \mathscr{R}
- For $a, b \in (0, 1)$, $\frac{a}{a+1} < \frac{1}{b+1}$ QED

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Composite patterns

Theorem

For $a, b \in (0, 1)$, no admissible pattern can contain consecutive \mathcal{L} s and consecutive \mathcal{R} s simultaneously.

- For $\mu < \frac{1}{b+1}$, every \mathscr{R} is immediately followed by \mathscr{L}
- For $\mu > \frac{a}{a+1}$, every \mathscr{L} is immediately followed by \mathscr{R}
- For $a, b \in (0, 1)$, $\frac{a}{a+1} < \frac{1}{b+1}$ QED
- Similar limits can be found for runs of *n* symbols

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Composite patterns

Lemma

For $a, b \in (0, 1)$, all the admissible composite patterns are made up of either \mathscr{L} -prime patterns or \mathscr{R} -prime patterns but not both. Every composite pattern is a combination of exactly two prime patterns of successive lengths.

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Composite patterns

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Composite patterns

Theorem

For $a, b \in (0, 1)$, and any *n*, there exists $\phi(n)$ distinct admissible patterns of cardinality *n*, where ϕ is the Euler's totient function.

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Composite patterns

Theorem

For $a, b \in (0, 1)$, and any *n*, there exists $\phi(n)$ distinct admissible patterns of cardinality *n*, where ϕ is the Euler's totient function.

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$$\phi(18) = 6 - 1, 5, 7, 11, 13, 17$$

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Composite patterns

Theorem

For $a, b \in (0, 1)$, and any *n*, there exists $\phi(n)$ distinct admissible patterns of cardinality *n*, where ϕ is the Euler's totient function.

•
$$\phi(18) = 6 - 1, 5, 7, 11, 13, 17$$

• Thus there are patterns of length 18 with 1,5,7,11,13,17 \mathcal{L} s in them

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Calculation of P_{σ}

Given a pattern σ which is admissible, how to calculate the interval P_{sigma}

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Calculation of P_{σ}

Given a pattern σ which is admissible, how to calculate the interval P_{sigma}

Consider the pattern

RLRLLRLLRLRLLRLRLLRLL

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Calculation of P_{σ}

Given a pattern σ which is admissible, how to calculate the interval P_{sigma} Consider the pattern

RLRLLRLLRLRLLRLLRLL

Substitute 0 for \mathscr{L} and 1 for \mathscr{R}

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Calculation of P_{σ}

Given a pattern σ which is admissible, how to calculate the interval P_{sigma}

Consider the pattern

RLRLLRLLRLRLLRLRLLRLL

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Given a pattern σ which is admissible, how to calculate the interval P_{sigma}

Consider the pattern

RLRLLRLLRLRLLRLRLLRLL

Substitute 0 for \mathscr{L} and 1 for \mathscr{R}





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Other cases

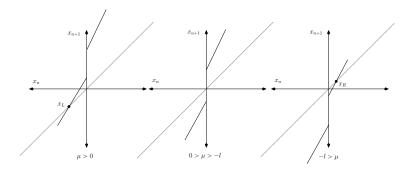
Assumption : Let $1 < a < \infty$ and $1 < b < \infty$

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Assumption : Let $1 < a < \infty$ and $1 < b < \infty$ If the "jump" $\ell > 0$, then



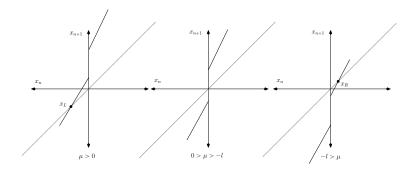
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 Phase 3

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Other cases

Assumption : Let $1 < a < \infty$ and $1 < b < \infty$ If the "jump" $\ell > 0$, then



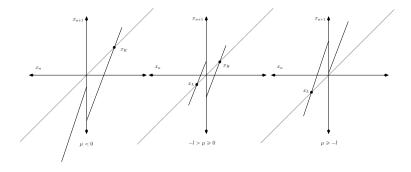
No chance of a periodic orbit !!

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Other cases

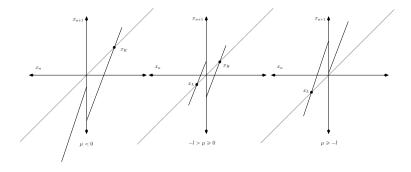
Assumption : Let $1 < a < \infty$ and $1 < b < \infty$ If the "jump" $\ell < 0$, then



PreliminariesPhase 1 ResultsPhase 2 ResultsPhase 300000000000

Other cases

Assumption : Let $1 < a < \infty$ and $1 < b < \infty$ If the "jump" $\ell < 0$, then



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Orbits can exist if $0 < \mu < -\ell$ Set $\ell = -1$ and therefore $0 < \mu < 1$



Assumption: a, b > 1

• Orbits are unstable



Assumption: a, b > 1

- Orbits are unstable
- \mathscr{L} -prime patterns and \mathscr{R} -prime patterns always present

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Assumption: a, b > 1

- Orbits are unstable
- $\bullet \ {\mathscr L}\text{-prime patterns}$ and ${\mathscr R}\text{-prime patterns}$ always present
- The pattern \mathscr{LLRR} always present



Assumption: a, b > 1

- Orbits are unstable
- \mathscr{L} -prime patterns and \mathscr{R} -prime patterns always present
- The pattern \mathscr{LLRR} always present
- If pattern $\mathscr{L}^p \mathscr{R}^q$ is present, then $\mathscr{L}^{p_1} \mathscr{R}^{q_1}$ is also present where $p_1 < p$ and $q_1 < q$

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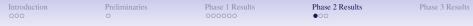
Assumption: a, b > 1

- Orbits are unstable
- \mathscr{L} -prime patterns and \mathscr{R} -prime patterns always present
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• Co-existence of patterns, multiple orbits exist



Assumption: a, b > 1

- Orbits are unstable
- \mathscr{L} -prime patterns and \mathscr{R} -prime patterns always present
- The pattern \mathscr{LLRR} always present
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- Co-existence of patterns, multiple orbits exist
- Chaotic orbits exist !!

Preliminaries

Phase 1 Results

Phase 2 Results

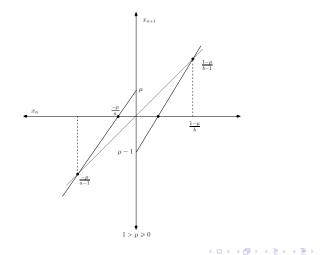
Phase 3 Results

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Chaotic orbits

Assumption: a, b > 1Why?



Preliminaries

Phase 1 Results

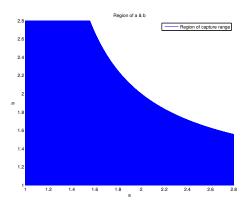
Phase 2 Results

Phase 3 Results

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Chaotic orbits

Assumption: a, b > 1Capture range for μ is $(\frac{a-1}{a}, \frac{1}{b})$



Only for values of a, b in blue – chaotic orbits $1 < b < \frac{a}{a-1}$ and $1 < a < \frac{b}{b-1}$

Preliminaries

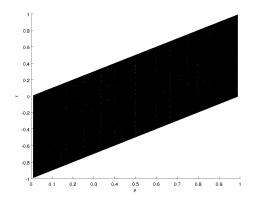
Phase 1 Results

Phase 2 Results

Phase 3 Results

Chaotic orbits

Assumption: a, b > 1Some pictures For a = 1.01, b = 1.01



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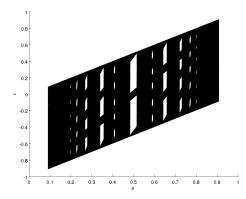
Phase 1 Results

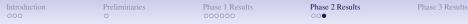
Phase 2 Results

Phase 3 Results

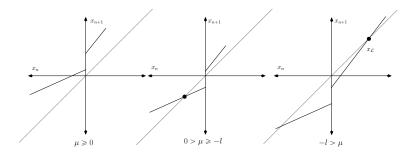
Chaotic orbits

Assumption: a, b > 1Some pictures For a = 1.1, b = 1.1



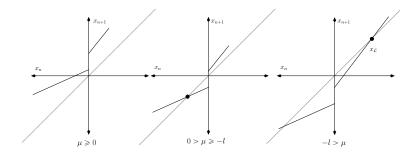


Assumption: 0 < a < 1 and b > 1For $\ell < 0$

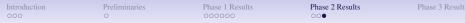




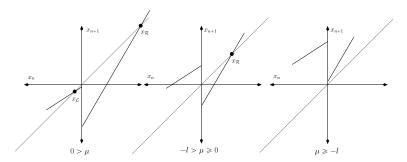
Assumption: 0 < a < 1 and b > 1For $\ell < 0$

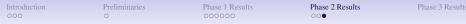


No orbits !!

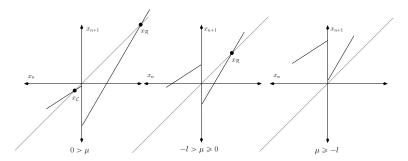


Assumption: 0 < a < 1 and b > 1For $\ell > 0$





Assumption: 0 < a < 1 and b > 1For $\ell > 0$



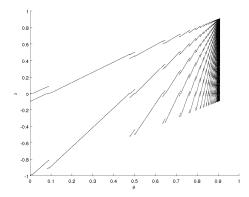
Orbits possible...

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Other cases – results

Assumption: 0 < a < 1 and b > 1Some pictures For a = 0.1 and b = 1.1



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Preliminaries

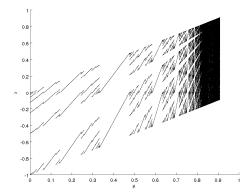
Phase 1 Results

Phase 2 Results

Phase 3 Results

Other cases – results

Assumption: 0 < a < 1 and b > 1Some pictures For a = 0.5 and b = 1.1



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Preliminaries

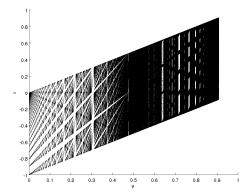
Phase 1 Results

Phase 2 Results

Phase 3 Results

Other cases – results

Assumption: 0 < a < 1 and b > 1Some pictures For a = 0.9 and b = 1.1

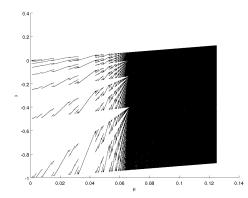


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Other cases – results

Assumption: 0 < a < 1 and b > 1Some pictures For a = 0.5 and b = 8



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Preliminaries

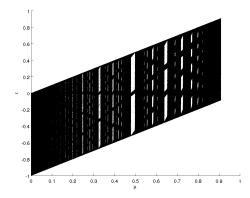
Phase 1 Results

Phase 2 Results

Phase 3 Results

Boundary cases

By pictures For a = 1 and b = 1.1



Preliminaries

Phase 1 Results

Phase 2 Results

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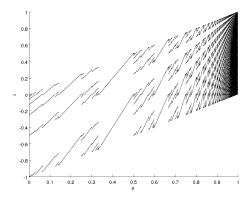
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Phase 3 Results

Boundary cases

Some pictures For a = 0.5 and b = 1



Preliminaries

Phase 1 Results

Phase 2 Results

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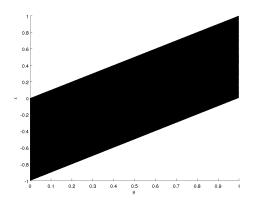
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Phase 3 Results

Boundary cases

Some pictures For a = 1 and b = 1



Introduction 000	Preliminaries O	Phase 1 Results	Phase 2 Results	Phase 3 Results

Thank you very much